

https://selfdrivingcars.mit.edu

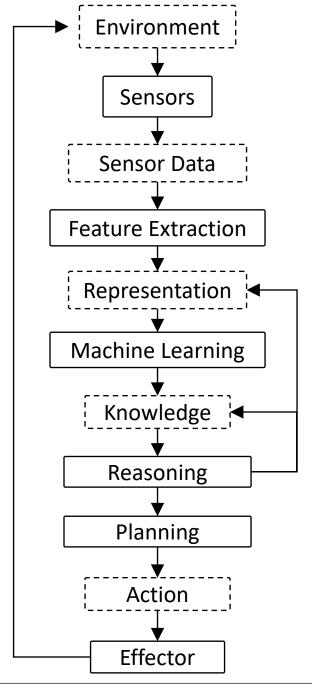
Lex Fridman



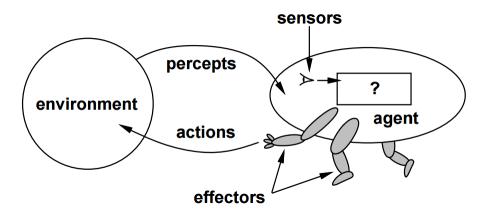
Lecture 3:

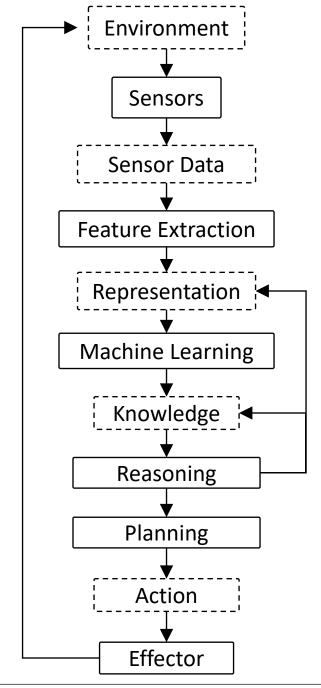
Deep Reinforcement Learning





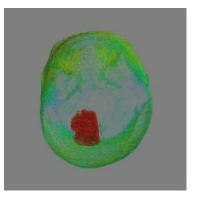
Open Question: What can we **not** do with Deep Learning?







Formal tasks: Playing board games, card games. Solving puzzles, mathematical and logic problems.



Expert tasks: Medical diagnosis, engineering, scheduling, computer hardware design.

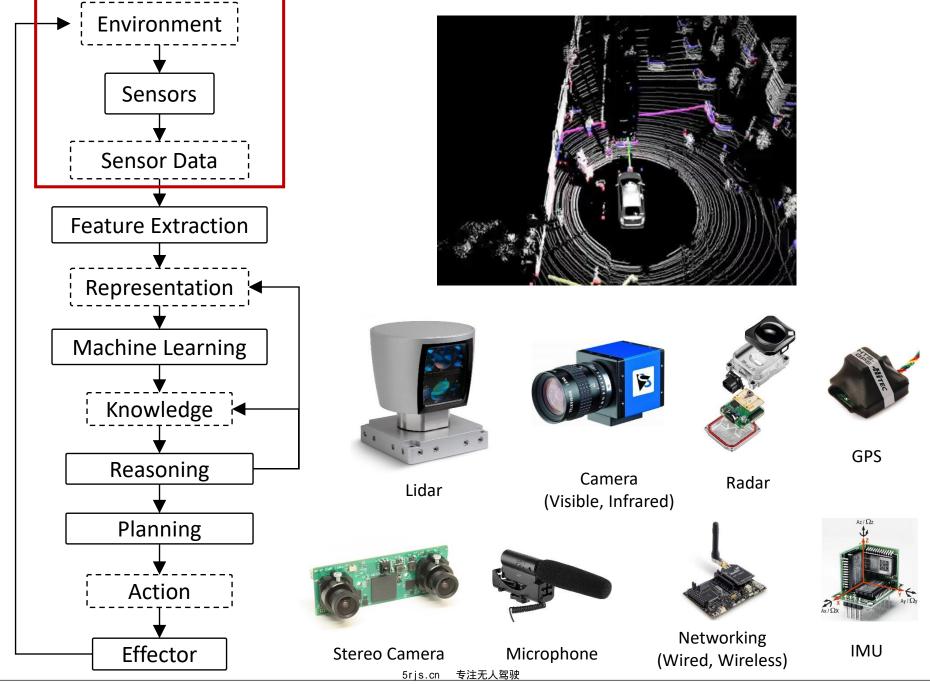


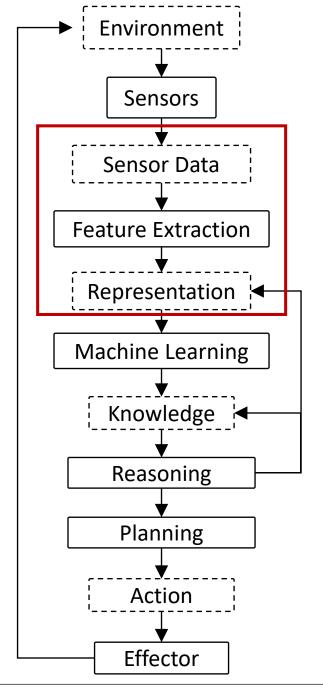
Mundane tasks: Everyday speech, written language, perception, walking, object manipulation.

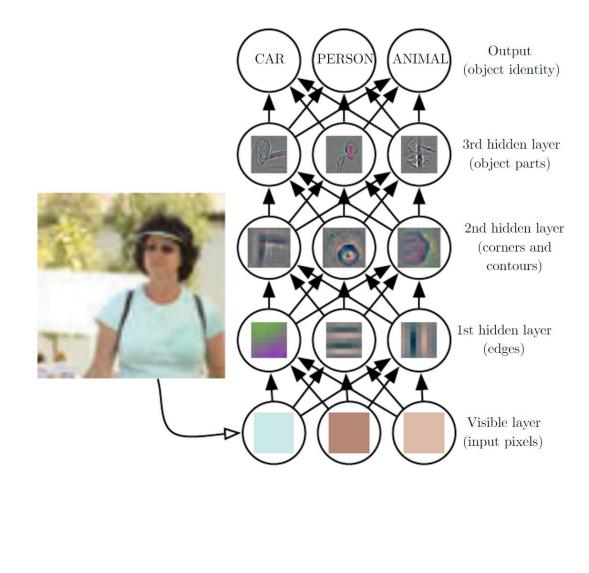


Human tasks: Awareness of self, emotion, imagination, morality, subjective experience, high-level-reasoning, consciousness.



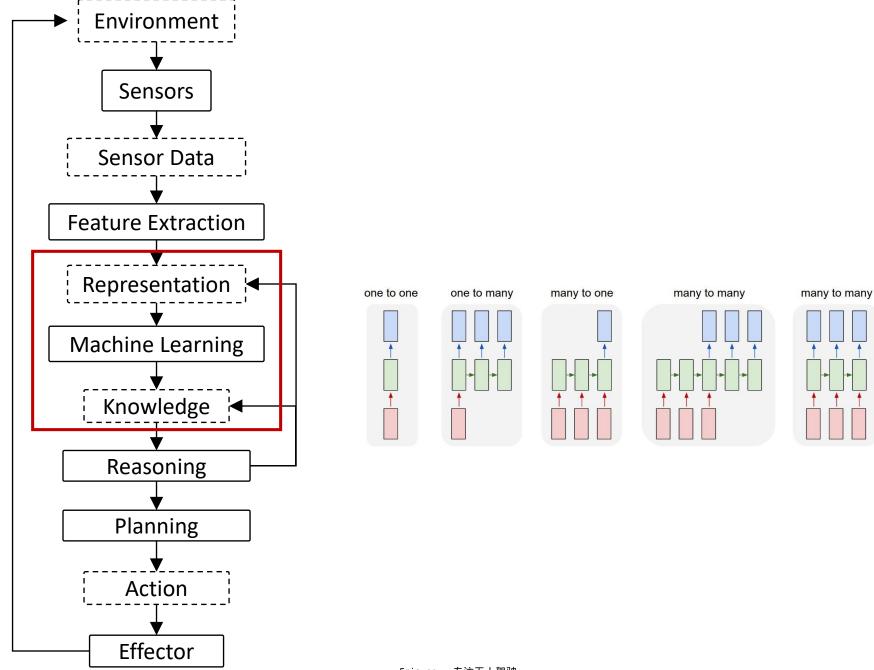






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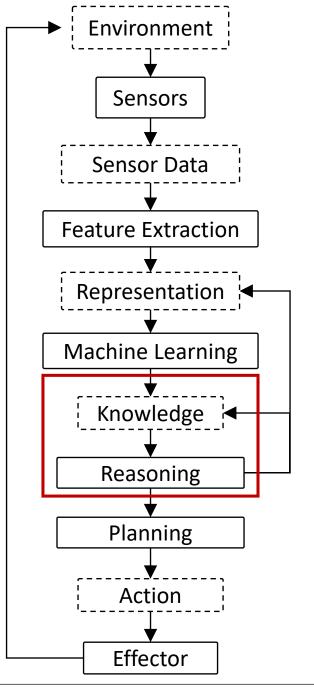


Image Recognition: If it looks like a duck

Audio Recognition: Quacks like a duck

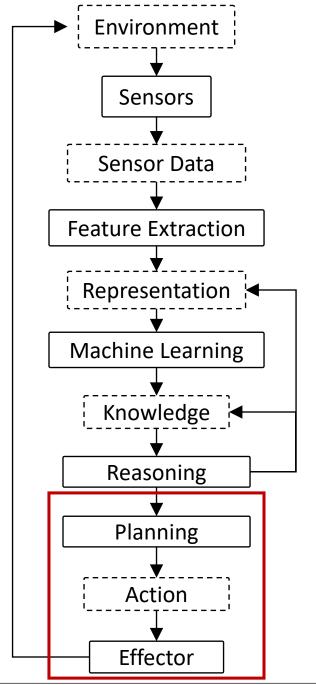




Activity Recognition: Swims like a duck

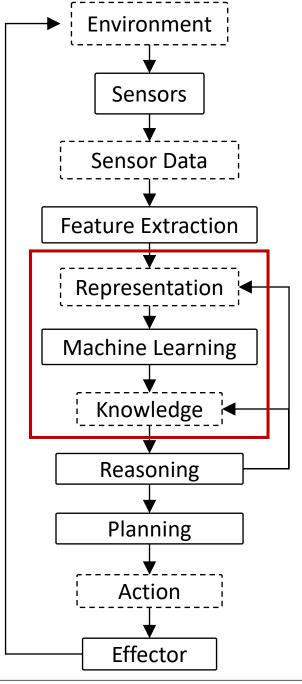


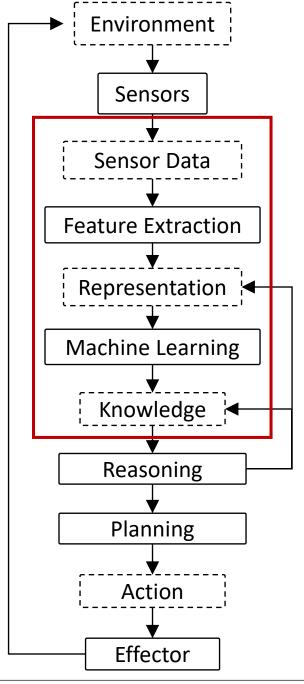
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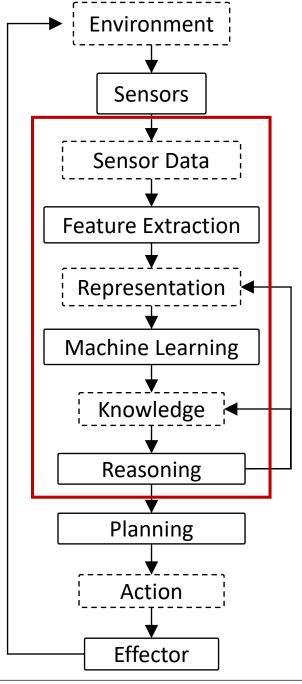


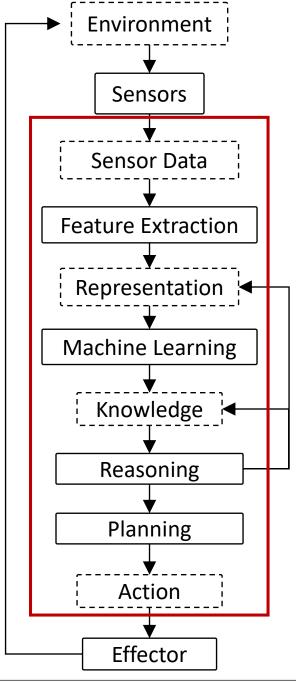


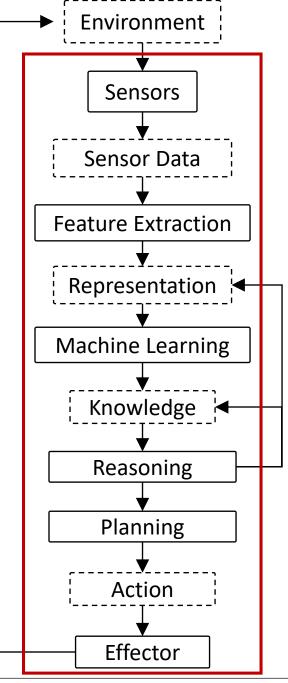
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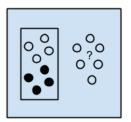






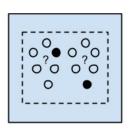


Types of Deep Learning

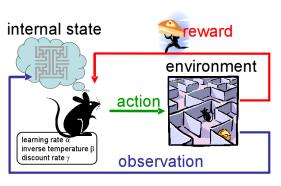


Supervised Learning

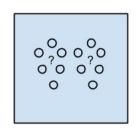
Institute of



Semi-Supervised Learning



Reinforcement Learning

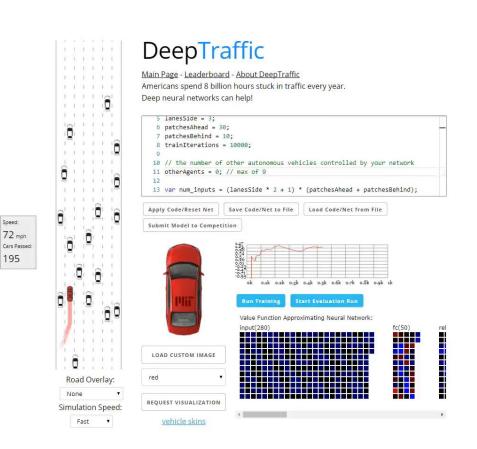


Unsupervised Learning



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DeepTraffic: Deep Reinforcement Learning Competition







https://selfdrivingcars.mit.edu/deeptraffic

DeepTraffic: Deep Reinforcement Learning Competition

- Competition: https://github.com/lexfridman/deeptraffic
- **GitHub:** https://github.com/lexfridman/deeptraffic
- Paper on arXiv: https://arxiv.org/abs/1801.02805

DeepTraffic: Driving Fast through Dense Traffic with Deep Reinforcement Learning

Lex Fridman, Benedikt Jenik, and Jack Terwilliger

Massachusetts Institute of Technology (MIT)

Abstract—We present a micro-traffic simulation (named "DeepTraffic") where the perception, control, and planning systems for one of the cars are all handled by a single neural network as part of a model-free, off-policy reinforcement learning process. The primary goal of DeepTraffic is to make the hands-on study of deep reinforcement learning accessible to thousands of students, educators, and researchers in order to inspire and fuel the exploration and evaluation of DQN variants and hyperparameter configurations through large-scale, open competition. This paper investigates the crowd-sourced hyperparameter tuning of the policy network that resulted from the first iteration of the DeepTraffic competition where thousands of participants actively searched through the hyperparameter space with the objective of their neural network submission to make it onto the top-10 leaderboard.

that world. Moreover, we take a broader look about the impact of that single intelligent agent on the macro-patterns of traffic flow, and show a deep RL agent may in fact alleviate traffic jams not create them despite operating under a purely greedy

The latest statistics on the number of submissions and the extent of crowdsourced network training and simulation are as

- follows:
- Number of submissions: 13,417
 - Students participating in competition: 7,120 Total network parameters optimized: 168.5 million
 - Total duration of RL simulations: 96.6 years

Deep reinforcement learning has shown promise to learn to 专注完欠益则y operate in simulated physics environments like MuloCo [6], in gaming environments [7], [1], and driving environments [8], [9]. Yet, the question of how so much can be dien volue learned from such sparse supervision is not yet well explored. ters toward such understanding by drawing

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Philosophical Motivation for Reinforcement Learning

Takeaway from Supervised Learning:

Neural networks are great at memorization and not (yet) great at reasoning.

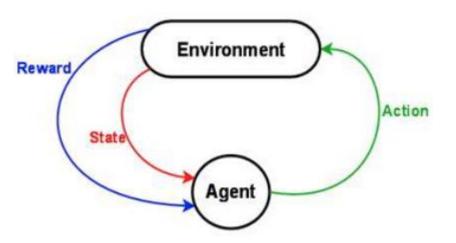
Hope for Reinforcement Learning:

Brute-force propagation of outcomes to knowledge about states and actions. This is a kind of brute-force "reasoning".



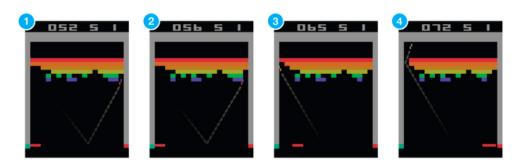
Agent and Environment

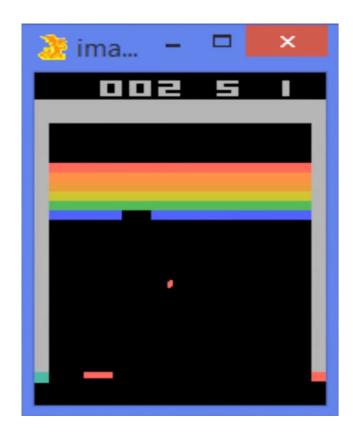
- At each step the agent:
 - Executes action
 - Receives observation (new state)
 - Receives reward
- The environment:
 - Receives action
 - Emits observation (new state)
 - Emits reward



Reinforcement learning is a general-purpose framework for decision-making:

- An agent operates in an environment: Atari Breakout
- An agent has the capacity to act
- Each action influences the agent's **future state**
- Success is measured by a **reward** signal
- **Goal** is to select actions to maximize future reward

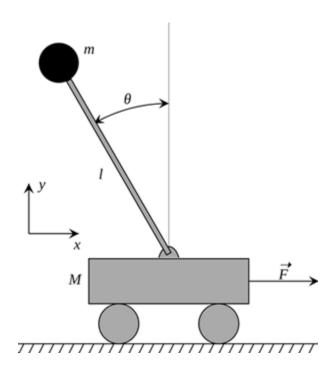




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Cart-Pole Balancing

- Goal Balance the pole on top of a moving cart
- State angle, angular speed, position, horizontal velocity
- Actions horizontal force to the cart
- **Reward** 1 at each time step if the pole is upright

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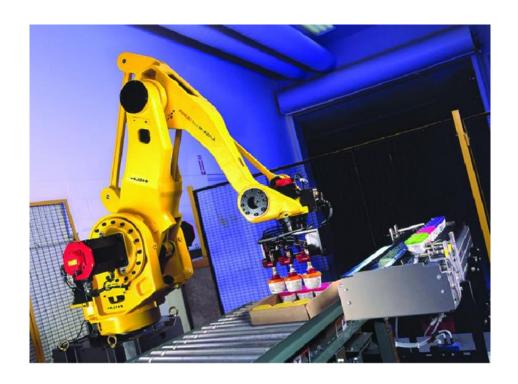
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Doom

- **Goal** Eliminate all opponents
- State Raw game pixels of the game
- Actions Up, Down, Left, Right etc
- Reward Positive when eliminating an opponent, negative when the agent is eliminated





Bin Packing

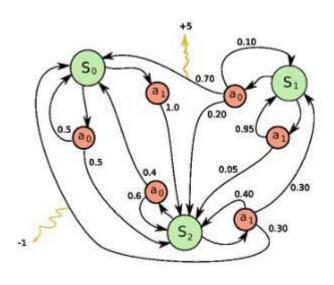
- Goal Pick a device from a box and put it into a container
- State Raw pixels of the real world
- **Actions** Possible actions of the robot

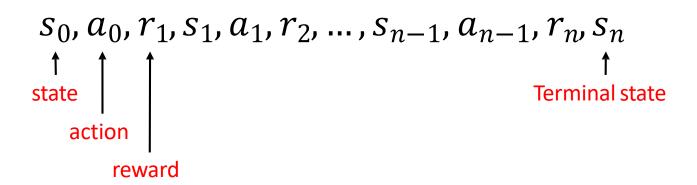
[166]

Reward - Positive when placing a device successfully, negative otherwise



Markov Decision Process





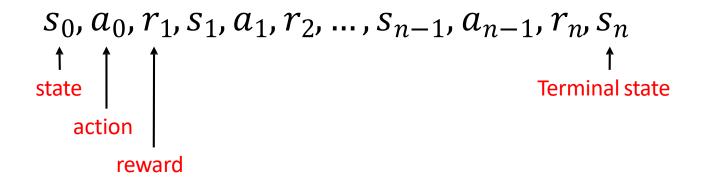
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Major Components of an RL Agent

An RL agent may include one or more of these components:

- Policy: agent's behavior function
- Value function: how good is each state and/or action
- Model: agent's representation of the environment





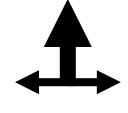
Robot in a Room

		+1
		-1
START		

actions: UP, DOWN, LEFT, RIGHT

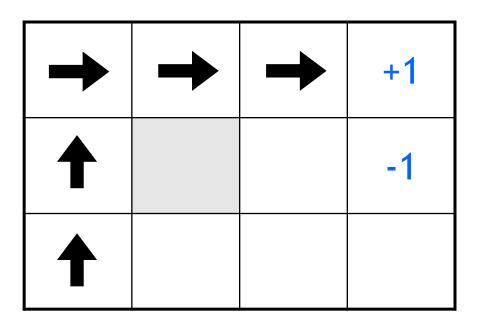
UP

80% move UP 10% move LEFT 10% move RIGHT



- reward +1 at [4,3], -1 at [4,2]
- reward -0.04 for each step
- what's the strategy to achieve max reward?
- what if the actions were deterministic?

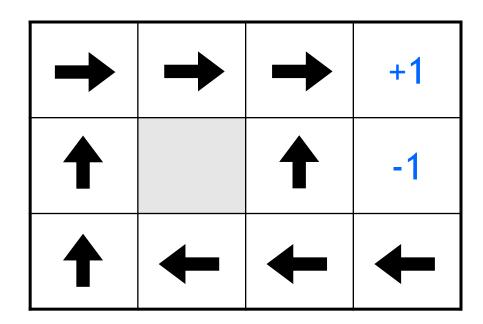
Is this a solution?



- only if actions deterministic
 - not in this case (actions are stochastic)
- solution/policy
 - mapping from each state to an action

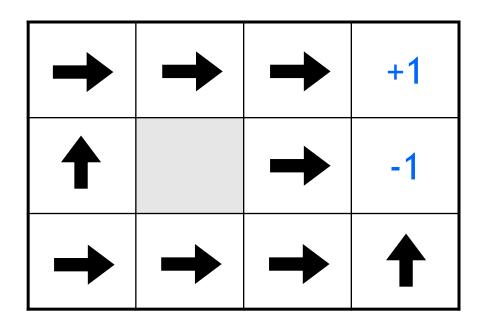


Optimal policy



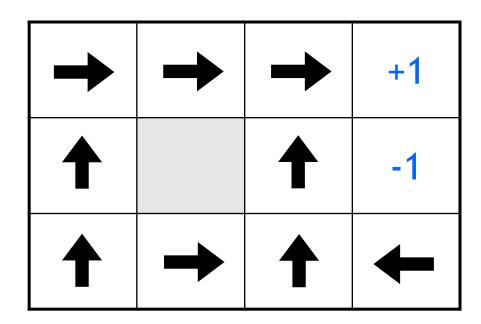


Reward for each step -2

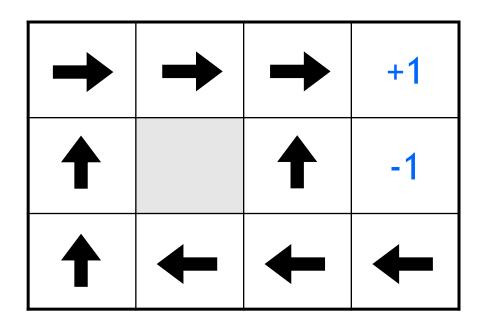




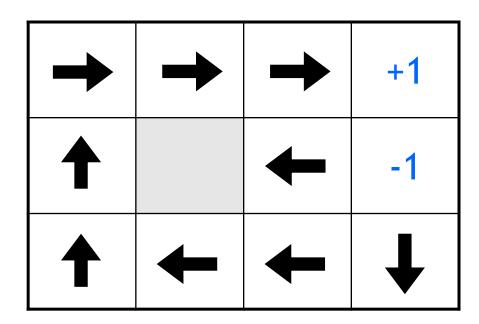
Reward for each step: -0.1



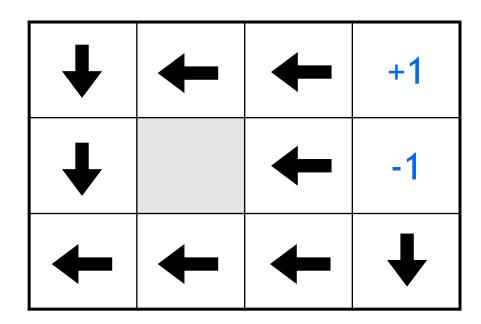
Reward for each step: -0.04



Reward for each step: -0.01



Reward for each step: +0.01



Value Function

• Future reward
$$R = r_1 + r_2 + r_3 + \cdots + r_n$$

$$R_t = r_t + r_{t+1} + r_{t+2} + \cdots + r_n$$

• Discounted future reward (environment is stochastic)

$$R_{t} = r_{t} + \gamma r_{t+1} + \gamma^{2} r_{t+2} + \dots + \gamma^{n-t} r_{n}$$

$$= r_{t} + \gamma (r_{t+1} + \gamma (r_{t+2} + \dots))$$

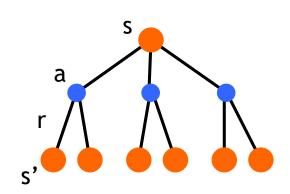
$$= r_{t} + \gamma R_{t+1}$$

• A good strategy for an agent would be to always choose an action that maximizes the (discounted) future reward

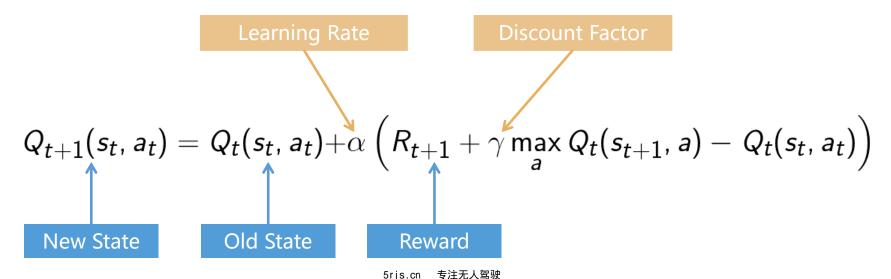


Q-Learning

- State-action value function: $Q^{\pi}(s,a)$
 - Expected return when starting in s, performing a, and following π



- Q-Learning: Use any policy to estimate Q that maximizes future reward:
 - Q directly approximates Q* (Bellman optimality equation)
 - Independent of the policy being followed
 - Only requirement: keep updating each (s,a) pair

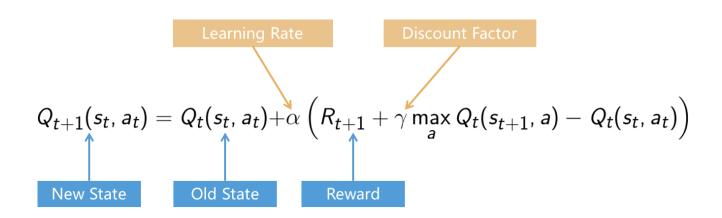


Exploration vs Exploitation

- Key ingredient of Reinforcement Learning
- Deterministic/greedy policy won't explore all actions
 - Don't know anything about the environment at the beginning
 - Need to try all actions to find the optimal one
- Maintain exploration
 - Use *soft* policies instead: $\pi(s,a)>0$ (for all s,a)
- ε-greedy policy
 - With probability 1-ε perform the optimal/greedy action
 - With probability ε perform a random action
 - Will keep exploring the environment
 - Slowly move it towards greedy policy: $\varepsilon \rightarrow 0$



Q-Learning: Value Iteration



	A1	A2	А3	A4
S1	+1	+2	-1	0
S2	+2	0	+1	-2
S3	-1	+1	0	-2
S4	-2	0	+1	+1

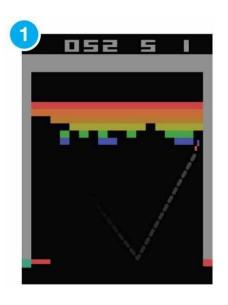
References: [84]

initialize $Q[num_states, num_actions]$ arbitrarily observe initial state srepeat

select and carry out an action aobserve reward r and new state s' $Q[s,a] = Q[s,a] + \alpha(r + \gamma \max_{a'} Q[s',a'] - Q[s,a])$ s = s'until terminated

Q-Learning: Representation Matters

- In practice, Value Iteration is impractical
 - Very limited states/actions
 - Cannot generalize to unobserved states



- Think about the Breakout game
 - State: screen pixels
 - Image size: **84** × **84** (resized)
 - Consecutive 4 images
 - Grayscale with **256** gray levels

 $256^{84 \times 84 \times 4}$ rows in the Q-table!



Philosophical Motivation for **Deep** Reinforcement Learning

Takeaway from Supervised Learning:

Neural networks are great at memorization and not (yet) great at reasoning.

Hope for Reinforcement Learning:

Brute-force propagation of outcomes to knowledge about states and actions. This is a kind of brute-force "reasoning".

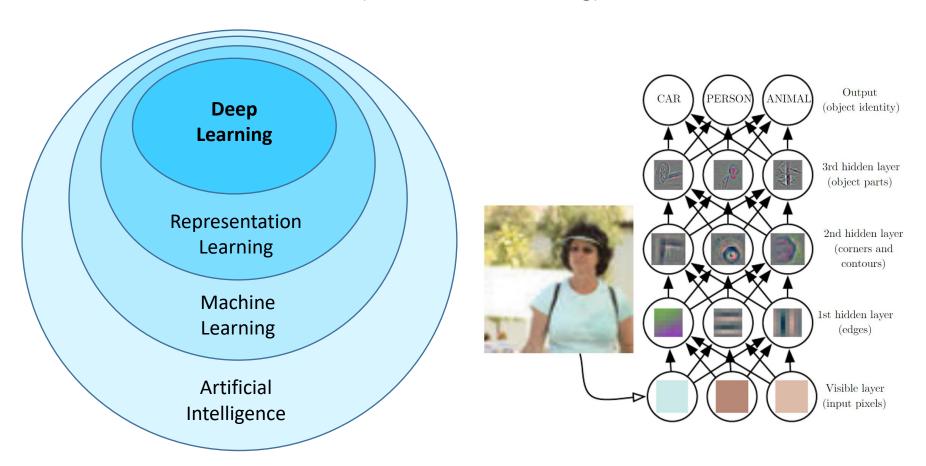
Hope for Deep Learning + Reinforcement Learning:

General purpose artificial intelligence through efficient generalizable learning of the optimal thing to do given a formalized set of actions and states (possibly huge).



Deep Learning is **Representation Learning**

(aka Feature Learning)



Intelligence: Ability to accomplish complex goals.

Understanding: Ability to turn complex information to into simple, useful information.

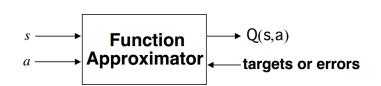


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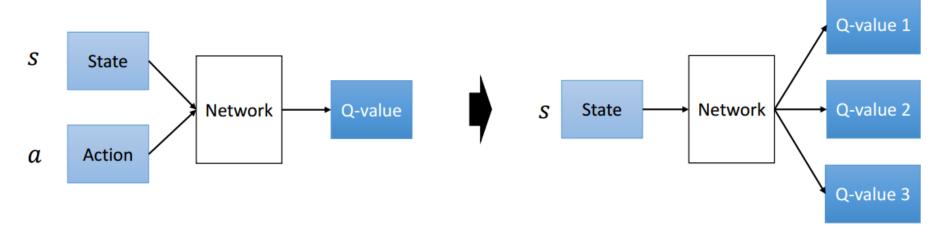
Deep Q-Learning

Use a function (with parameters) to approximate the Q-function

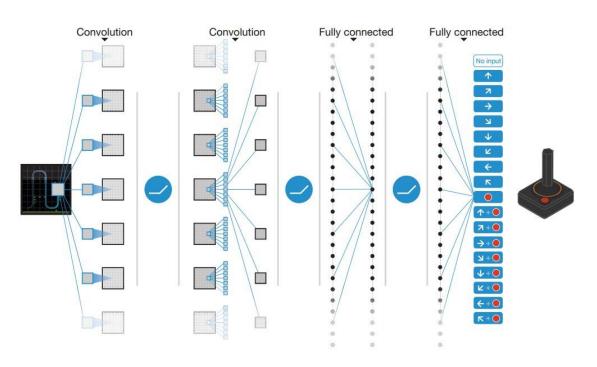


- Linear
- Non-linear: Q-Network

$$Q(s,a;\theta) \approx Q^*(s,a)$$



Deep Q-Network (DQN): Atari



Layer	Input	Filter size	Stride	Num filters	Activation	Output
conv1	84x84x4	8x8	4	32	ReLU	20x20x32
conv2	20x20x32	4x4	2	64	ReLU	9x9x64
conv3	9x9x64	3x3	1	64	ReLU	7x7x64
fc4	7x7x64			512	ReLU	512
fc5	512			18	Linear	18

Mnih et al. "Playing atari with deep reinforcement learning." 2013.

Deep Q-Network Training

Bellman Equation:

$$Q(s,a) = r + \gamma \max_{a'} Q(s',a')$$

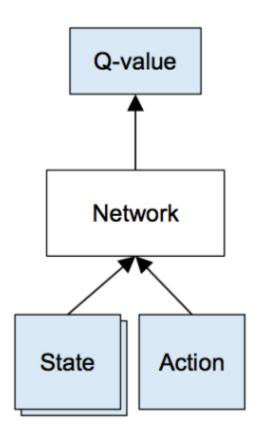
Loss function (squared error):

$$L = \mathbb{E}[(\mathbf{r} + \gamma \mathbf{m} \mathbf{a} \mathbf{x}_{a'} \mathbf{Q}(\mathbf{s}', \mathbf{a}') - Q(\mathbf{s}, \mathbf{a}))^{2}]$$
target

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DQN Training



Given a transition $\langle s, a, r, s' \rangle$, the Q-table update rule in the previous algorithm must be replaced with the following:

- Do a feedforward pass for the current state s to get predicted Q-values for all actions
- Do a feedforward pass for the next state s' and calculate maximum overall network outputs max q' Q(s', a')
- Set Q-value target for action to $r + \gamma \max_{a'} Q(s', a')$ (use the max calculated in step 2).
 - For all other actions, set the Q-value target to the same as originally returned from step 1, making the error 0 for those outputs.

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Update the weights using backpropagation.

DQN Tricks

Experience Replay

 Stores experiences (actions, state transitions, and rewards) and creates mini-batches from them for the training process

Fixed Target Network

 Error calculation includes the target function depends on network parameters and thus changes quickly. Updating it only every 1,000 steps increases stability of training process.

$$Q(s_t, a) \leftarrow Q(s_t, a) + lpha \left[r_{t+1} + \gamma \max_p Q(s_{t+1}, p) - Q(s_t, a)
ight]$$

target O function in the red rectangular is fixed

Reward Clipping

 To standardize rewards across games by setting all positive rewards to +1 and all negative to -1.

Skipping Frames

Skip every 4 frames to take action



https://selfdrivingcars.mit.edu

DQN Tricks

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ight]$$

target Q function in the red rectangular is fixed

Replay	0	0	×	×
Target	0	×	0	×
Breakout	316.8	240.7	10.2	3.2
River Raid	7446.6	4102.8	2867.7	1453.0
Seaquest	2894.4	822.6	1003.0	275.8
Space Invaders	1088.9	826.3	373.2	302.0

[83, 167]

Deep Q-Learning Algorithm

```
initialize replay memory D
initialize action-value function Q with random weights
observe initial state s
repeat
      select an action a
            with probability \varepsilon select a random action
            otherwise select a = \operatorname{argmax}_{a'}Q(s, a')
      carry out action a
      observe reward r and new state s'
      store experience \langle s, a, r, s' \rangle in replay memory D
      sample random transitions <ss, aa, rr, ss'> from replay memory D
      calculate target for each minibatch transition
            if ss' is terminal state then tt = rr
            otherwise tt = rr + \gamma \max_{a'} Q(ss', aa')
      train the Q network using (tt - Q(ss, aa))^2 as loss
      s = s'
until terminated
```



Atari Breakout



After

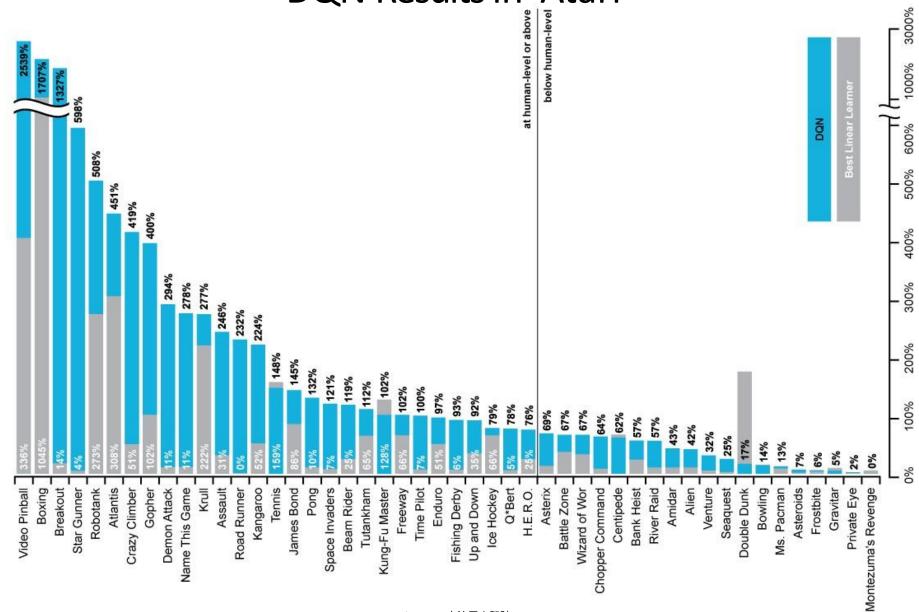
10 Minutes

of Training

After **120 Minutes**of Training

After **240 Minutes**of Training

DQN Results in Atari



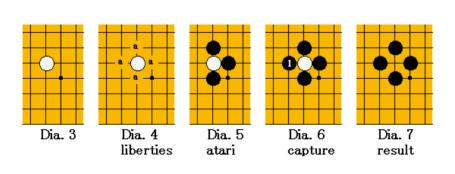
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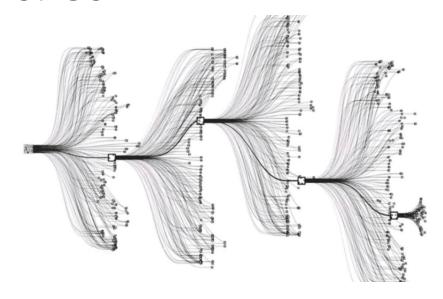


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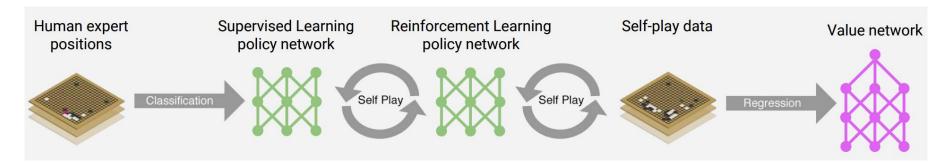
Game of Go

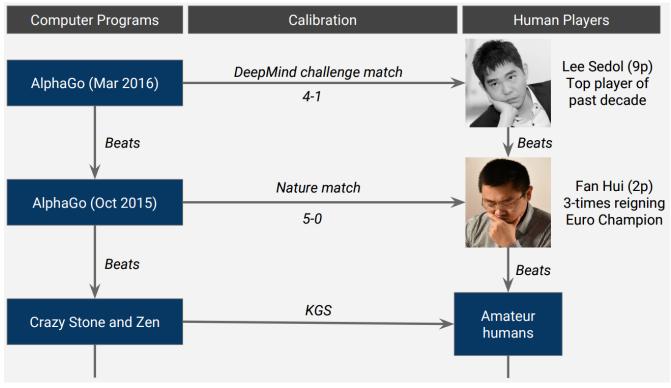




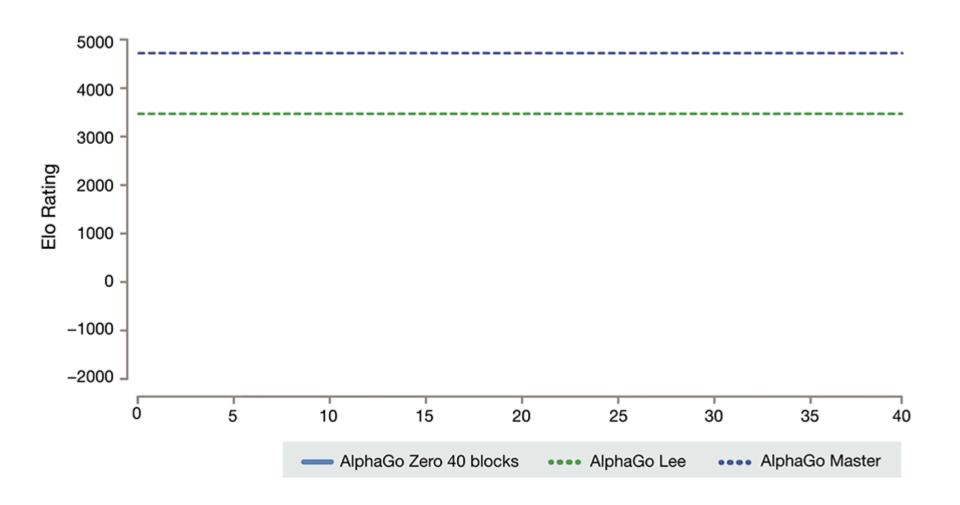
Game size	Board size N	3 ^N	Percent legal	legal game positions (A094777) ^[11]
1×1	1	3	33%	1
2×2	4	81	70%	57
3×3	9	19,683	64%	12,675
4×4	16	43,046,721	56%	24,318,165
5×5	25	8.47×10 ¹¹	49%	4.1×10 ¹¹
9×9	81	4.4×10 ³⁸	23.4%	1.039×10 ³⁸
13×13	169	4.3×10 ⁸⁰	8.66%	3.72497923×10 ⁷⁹
19×19	361	1.74×10 ¹⁷²	1.196%	2.08168199382×10 ¹⁷⁰

AlphaGo (2016) Beat Top Human at Go





AlphaGo Zero (2017): Beats AlphaGo

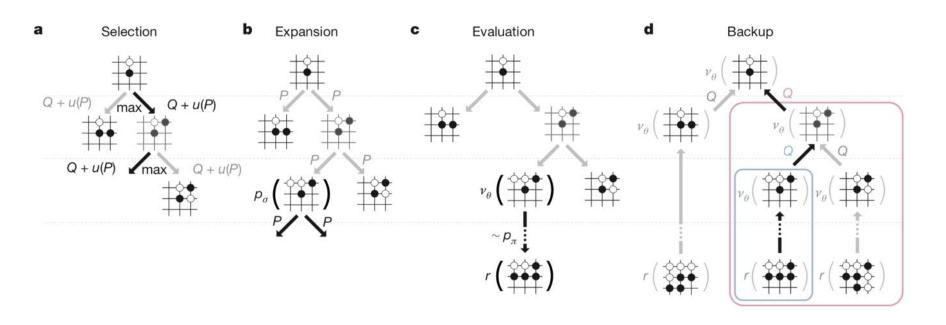




[149]

AlphaGo Zero Approach

- Same as the best before: Monte Carlo Tree Search (MCTS)
 - Balance exploitation/exploration (going deep on promising positions or exploring new underplayed positions)
- Use a neural network as "intuition" for which positions to expand as part of MCTS (same as AlphaGo)





[170]

AlphaGo Zero Approach

- Same as the best before: Monte Carlo Tree Search (MCTS)
 - Balance exploitation/exploration (going deep on promising positions or exploring new underplayed positions)
- Use a neural network as "intuition" for which positions to expand as part of MCTS (same as AlphaGo)
- "Tricks"
 - Use MCTS intelligent look-ahead (instead of human games) to improve value estimates of play options
 - Multi-task learning: "two-headed" network that outputs (1) move probability and (2) probability of winning.
 - Updated architecture: use residual networks



MIT 6.S094: Deep Learning for Self-Driving Cars

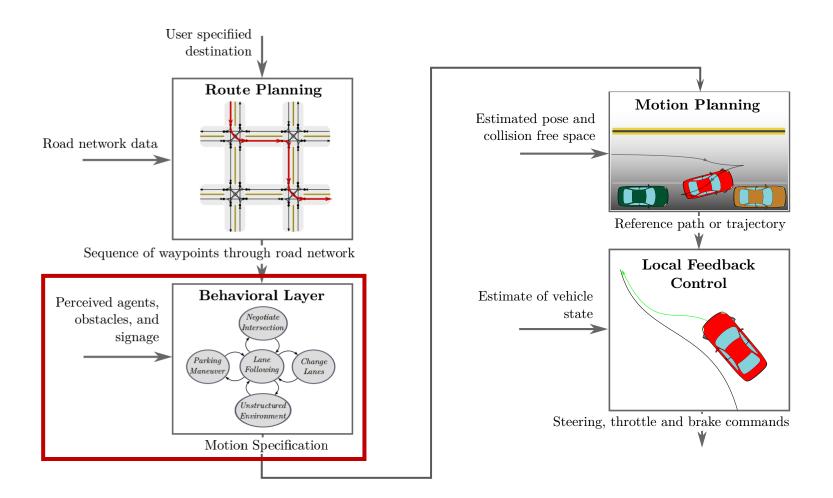
https://selfdrivingcars.mit.edu

Americans spend 8 billion hours stuck in traffic every year.





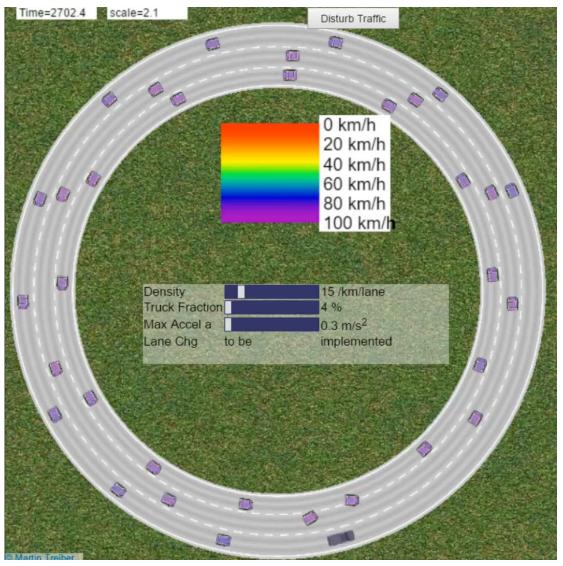
Autonomous Driving: A Hierarchical View



Paden B, Čáp M, Yong SZ, Yershov D, Frazzoli E. "A Survey of Motion Planning and Control Techniques for Self-driving Urban Vehicles." IEEE Transactions on Intelligent Vehicles 1.1 (2016): 33-55.



Applying Deep Reinforcement Learning to Micro-Traffic Simulation





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DeepTraffic: Deep Reinforcement Learning Competition



https://selfdrivingcars.mit.edu/deeptraffic

- **Goal:** Achieve the highest average speed over a long period of time.
- Requirement for Students: Follow tutorial to achieve a speed of 65mph



What You Should Do

To compete:

- Read the tutorial: https://selfdrivingcars.mit.edu/deeptraffic-about
- Change parameters in the code box.
- Click "Apply Code" white button.

Apply Code/Reset Net

Click "Run Training" blue button.

Run Training

Click "Submit Model to Competition".

Submit Model to Competition

- And to visualize your submission for sharing with others:
 - Customize your image vehicle.

Load Custom Image

Customize your color scheme.

Red

Click "Request Visualization".

Request Visualization

The Road, The Car, The Speed



Speed: 80 mph Cars Passed: 2142





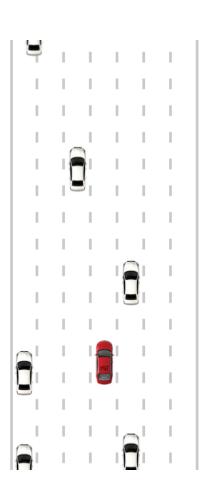
The Road, The Car, The Speed

Speed:

47 mph

Cars Passed:

5



State Representation:



MIT 6.S094: Deep Learning for Self-Driving Cars

https://selfdrivingcars.mit.edu



January

2018

Simulation Speed



Road Overlay:

None

Simulation Speed:

Normal \$



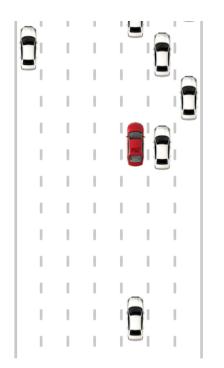
Road Overlay:

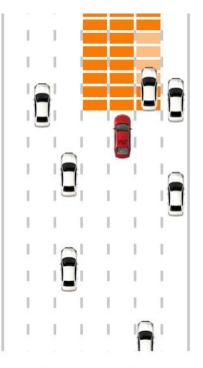


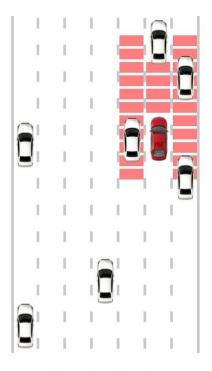
Simulation Speed:

Fast

Display Options









Road Overlay:

None \$

Road Overlay:

Learning Input \$

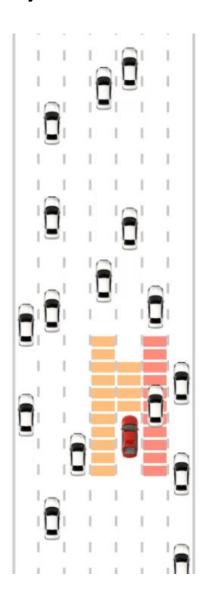
Road Overlay:

Safety System \$

Road Overlay:

Full Map 💠

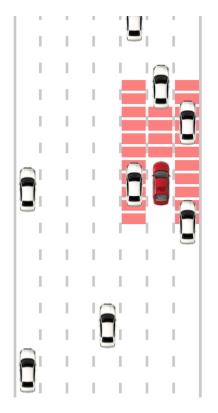
"Safety System": Motion and Control are Given

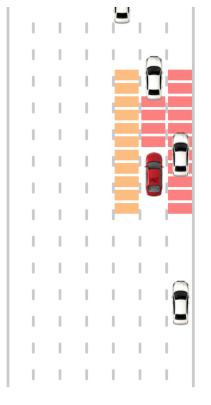


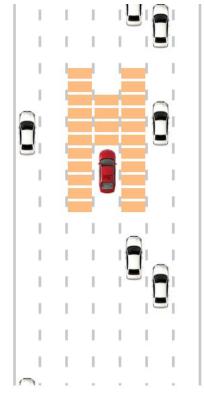
Speed: 68 mph Cars Passed: 2838



Safety System









Safety System \$

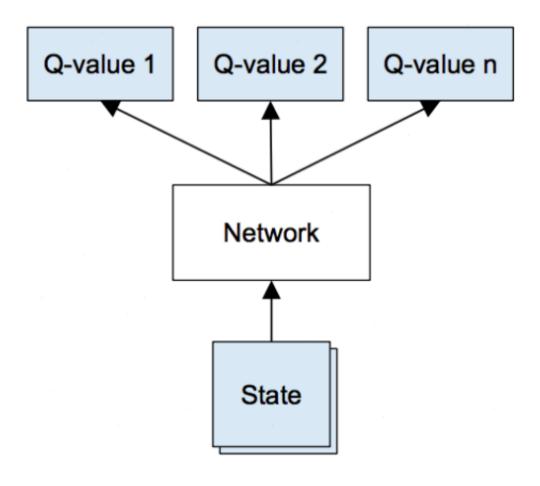
Road Overlay:

Safety System **♦**

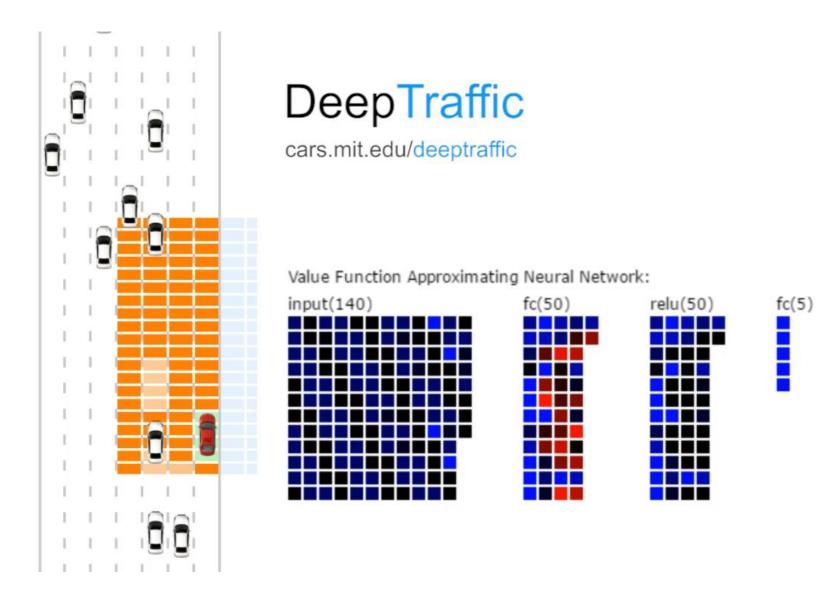
Road Overlay:

Safety System \$

Learning the "Behavioral Layer" Task



Learning the "Behavioral Layer" Task





Speed:

80 mph

2445

Cars Passed:

Action Space

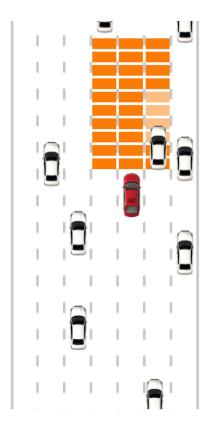


Road Overlay:

```
Learning Input $
```

```
var noAction = 0;
var accelerateAction = 1;
var decelerateAction = 2;
var goLeftAction = 3;
var goRightAction = 4;
```

Driving / Learning

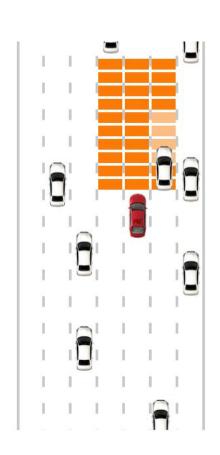


Road Overlay:

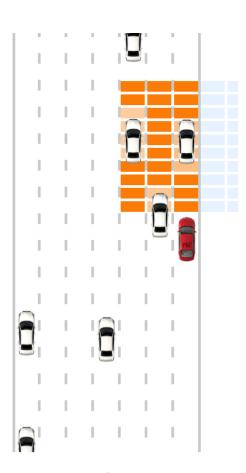
```
Learning Input $
```

```
learn = function (state, lastReward) {
    brain.backward(lastReward);
    var action = brain.forward(state);
    return action;
}
```

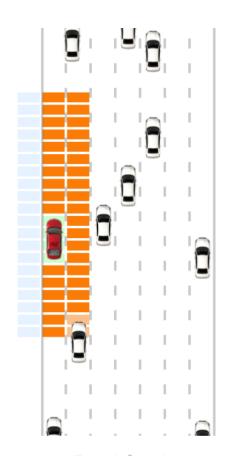
Learning Input



```
lanesSide = 1;
patchesAhead = 10;
patchesBehind = 0;
```



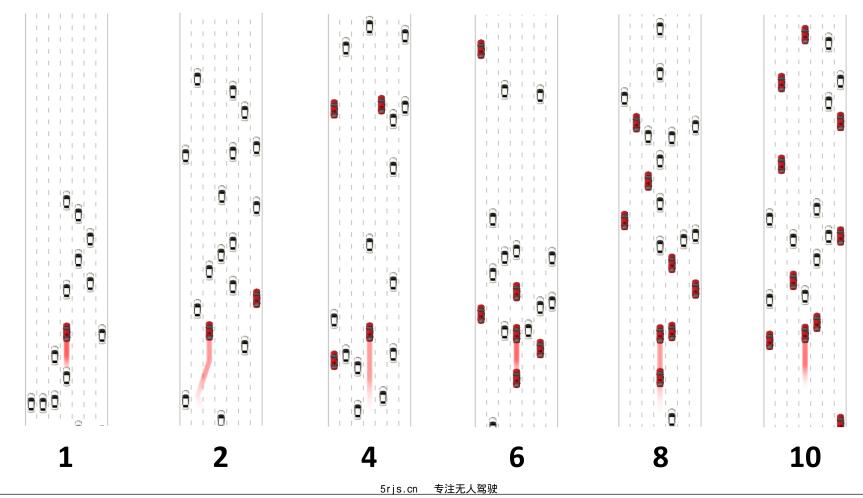
```
lanesSide = 2;
patchesAhead = 10;
patchesBehind = 0;
```



```
lanesSide = 1;
patchesAhead = 10;
patchesBehind = 10;
```

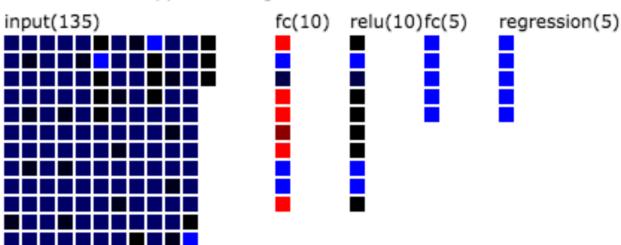
Multiple Agents

// the number of other autonomous vehicles controlled by your network
otherAgents = 0; // max of 9



Deep RL: Q-Function Learning Parameters





```
var num_inputs = (lanesSide * 2 + 1) * (patchesAhead + patchesBehind);
var num_actions = 5;
var temporal_window = 3;
var network_size = num_inputs * temporal_window + num_actions *
temporal_window + num_inputs;
```

Deep RL: Layers

```
layer_defs.push({
    type: 'fc',
    num_neurons: 10,
    activation: 'relu'
});
```

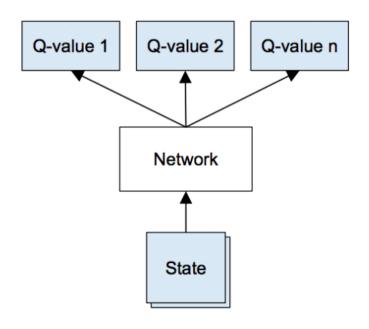


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5rjs.cn

https://selfdrivingcars.mit.edu

Deep RL: Output (Actions)



```
layer_defs.push({
    type: 'regression',
    num_neurons: num_actions
});
```



ConvNetJS: Options

```
var opt = {};
opt.temporal_window = temporal_window;
opt.experience_size = 3000;
opt.start_learn_threshold = 500;
opt.qamma = 0.7;
opt.learning steps total = 10000;
opt.learning steps burnin = 1000;
opt.epsilon min = 0.0;
opt.epsilon_test_time = 0.0;
opt.layer_defs = layer_defs;
opt.tdtrainer_options = {
    learning rate: 0.001, momentum: 0.0, batch size: 64, l2 decay: 0.01
};
brain = new deepglearn.Brain(num_inputs, num_actions, opt);
```



Coding/Changing the Net Layout

```
1
2 //<![CDATA[
3 // a few things don't have var in front of them - they update already
    existing variables the game needs
4 lanesSide = 1;
5 patchesAhead = 10;
6 patchesBehind = 10;
7 trainIterations = 100000;
8
9 // begin from convnetjs example
10 var num_inputs = (lanesSide * 2 + 1) * (patchesAhead + patchesBehind);
11 var num_actions = 5;
12 var temporal_window = 3; //1 // amount of temporal memory. 0 = agent lives
    in-the-moment :)
13 var network_size = num_inputs * temporal_window + num_actions *</pre>
```

Apply Code/Reset Net

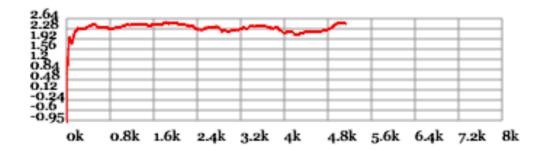
Watch out: kills trained state!

Training

trainIterations = 100000;

Run Training

- Done on separate thread (Web Workers)
 - Separate simulation, resets, state, etc.
 - A lot faster (1000 fps +)
- Network state gets shipped to the main simulation from time to time
 - You get to see the improvements/learning live



Training

trainIterations = 100000;

Run Training

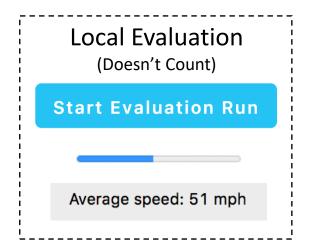
...



https://selfdrivingcars.mit.edu

Evaluation

- Scoring: Average Speed
- Method:
 - Collect average speed
 - Ten runs, about 45 (simulated) minutes of game each
 - Result: median speed of the 500 runs
- Done server side after you submit
- You can try it locally to get an estimate
 - Uses exactly the same evaluation procedure/code
 - DeepTraffic 2.0: Significantly reduced the influence of randomness





Loading/Saving

Save Code/Net to File

Danger: Overwrites all of your code and the trained net

Load Code/Net from File

Submitting Your Network

Submit Model to Competition

- Submits your code and the trained net state
 - Make sure you ran training!
- Adds your code to the end of a queue
 - Gets evaluated some time soon (no promises when)
- You can resubmit as often as you like
 - If your code wasn't evaluated yet it we still remove it from the queue (and move you to the end)
 - The highest score counts.



Customization and Visualization



Load Custom Image Red Request Visualization

Vehicle Skins

5rjs.cn 专注无人驾驶

Lex Fridman

lex.mit.edu

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Load Custom Image

Customize your color scheme.

Red

Click "Request Visualization".

Request Visualization

DeepTraffic: Deep Reinforcement Learning Competition

- Competition: https://github.com/lexfridman/deeptraffic
- **GitHub:** https://github.com/lexfridman/deeptraffic
- Paper on arXiv: https://arxiv.org/abs/1801.02805

DeepTraffic: Driving Fast through Dense Traffic with Deep Reinforcement Learning

Lex Fridman, Benedikt Jenik, and Jack Terwilliger

Massachusetts Institute of Technology (MIT)

Abstract—We present a micro-traffic simulation (named "DeepTraffic") where the perception, control, and planning systems for one of the cars are all handled by a single neural network as part of a model-free, off-policy reinforcement learning process. The primary goal of DeepTraffic is to make the hands-on study of deep reinforcement learning accessible to thousands of students, educators, and researchers in order to inspire and fuel the exploration and evaluation of DQN variants and hyperparameter configurations through large-scale, open competition. This paper investigates the crowd-sourced hyperparameter tuning of the policy network that resulted from the first iteration of the DeepTraffic competition where thousands of participants actively searched through the hyperparameter space with the objective of their neural network submission to make it onto the top-10 leaderboard.

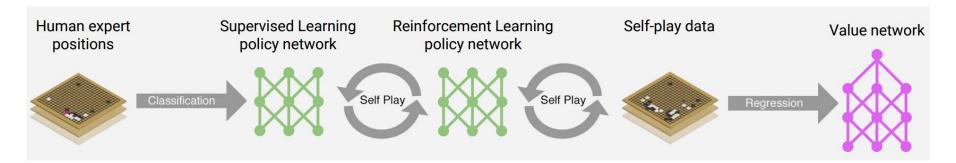
that world. Moreover, we take a broader look about the impact of that single intelligent agent on the macro-patterns of traffic flow, and show a deep RL agent may in fact alleviate traffic jams not create them despite operating under a purely greedy

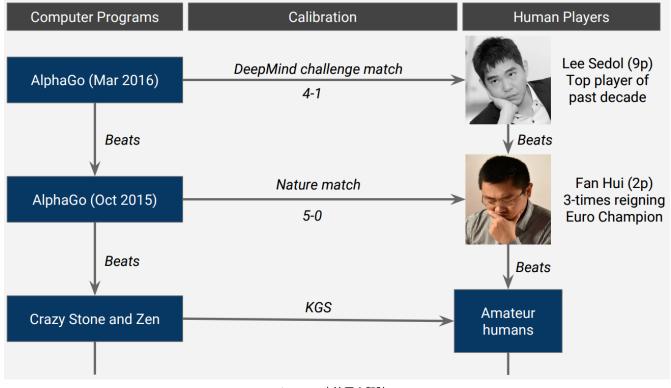
The latest statistics on the number of submissions and the extent of crowdsourced network training and simulation are as

- follows:
- Number of submissions: 13,417 Students participating in competition: 7,120
 - Total network parameters optimized: 168.5 million
 - Total duration of RL simulations: 96.6 years

Deep reinforcement learning has shown promise to learn to 专注完欠益则y operate in simulated physics environments like MuloCo [6], in gaming environments [7], [1], and driving environments [8], [9]. Yet, the question of how so much can be dien value learned from such sparse supervision is not yet well explored. ters toward such understanding by drawing

Human-in-the-Loop Reinforcement Learning: **Driving Ready?**

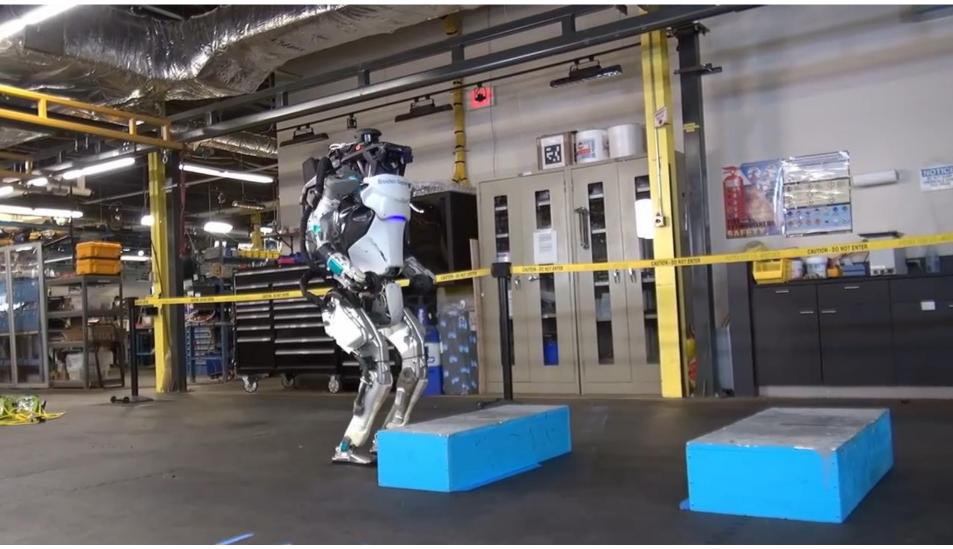




To date, for most successful robots operating in the real world:

Deep RL is not involved

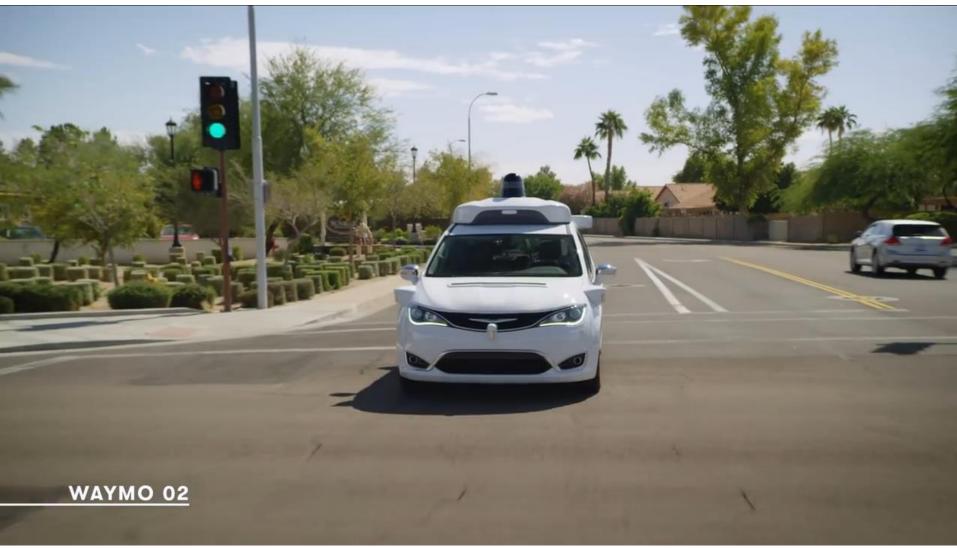
(to the best of our knowledge)



To date, for most successful robots operating in the real world:

Deep RL is not involved

(to the best of our knowledge)



Unexpected Local Pockets of High Reward





Al Safety

Risk (and thus Human Life) Part of the Loss Function



We will explore more about bias, safety, and ethics in: MIT 6.S099 Artificial General Intelligence https://agi.mit.edu



Artificial

MIT Course 6.S099:

Every day.

Thank You

Next lecture: Computer Vision

